

No. BT/HRD/11/011/2017
Government of India
Ministry of Science & Technology
Department of Biotechnology

Block 2, 6-8th Floor
CGO Complex, Lodi Road
New Delhi - 110003
Dated: 13/06/2017

ORDER

Sanction of the President is hereby accorded under Rule 18 of the Delegation of Financial Power Rules, 1978 for the financial support for strengthening of Life Science and Biotechnology Education and Training at undergraduate level under Star College Scheme to **SIES College of Arts, Science and Commerce, Sion (West), Mumbai – 400022 (M.S)** at a total project cost of ₹ 90.00 lakhs (Rupees eighty lakhs only) for three years as per budget details are given below:-

| Head | Amount (₹ In lakhs) | | | |
|--|-----------------------------|-----------------------------|-----------------------------|--------------|
| | 1 st Year rel | 2 nd Year rel | 3 rd Year rel | Total |
| Non-Recurring | 35.00 | - | - | 35.00 |
| Equipment @ ₹ 5.00 lakhs per dept. (Physics, Chemistry, Botany, Zoology, Microbiology, Biotechnology and Computer Science) | | | | |
| Recurring to all seven depts. @ ₹ 2.00 lakhs per year (Physics, Chemistry, Botany, Zoology, Microbiology, Biotechnology and Computer Science) | 14.00 | 14.00 | 14.00 | 42.00 |
| Travel Grant (Mentoring, Monitoring and Site Visit) | 1.00 | 1.00 | 1.00 | 3.00 |
| Total: | 50.00 | 15.00 | 15.00 | 80.00 |

2. **Dr. Manju Phadke, Vice Principal and Associate Professor, SIES College of Arts, Science and Commerce, Sion (West), Mumbai – 400022 (M.S)** will be the coordinator for this programme and will submit utilization certificate and statement of expenditure duly signed by him, finance/accounts officer of college and head of college in duplicate at the end of financial year.
3. The college is required to implement the programme as per the terms and conditions given in **Annexure-I**.
4. As per "Rule 236 (1) of GFR 2017", the Account of all Grantee Institution or Organisations shall be open to inspection by the sanctioning authority and audit, both by the Comptroller and Auditor General of India under the provision of CAG(DPC) Act 1971 and internal audit by the Principal Accounts Office of the Ministry or Department, whenever the institute or Organisation is called upon to do so.
5. The expenditure involved is debitable to:

| | |
|---------------|---|
| Demand No. 85 | Department of Biotechnology |
| 3425 | Other Scientific Research (Major Head) |
| 60 | Others (Sub Major Head) |
| 60.200 | Assistance to Other Scientific Bodies (Minor Head) |
| 29 | Biotechnology Research and Development, Human Resource Development, Research Resources and facilities |
| 29.17 | Assistance for Research and Development |
| 29.17.31 | Grants-in-Aid General for the year 2017-18 |

Continue...2/-

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Principal
SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

| | |
|---------------|---|
| Demand No. 85 | Department of Biotechnology |
| 3425 | Other Scientific Research (Major Head) |
| 60 | Others (Sub Major Head) |
| 60.200 | Assistance to Other Scientific Bodies (Minor Head) |
| 29 | Biotechnology Research and Development, Human Resource Development, Research Resources and facilities |
| 29.17 | Assistance for Research and Development |
| 29.17.35 | Grants-in-Creation of Capital Assets |

6. Non-recurring grant shall be utilized within 18 months of their release.
7. The institute/agency will keep the whole of the grant in a bank account earning interest, and the interest so earned should be reported to DBT in the utilization certificate and statement of expenditure. The interest so earned will be treated as a credit to the institute/agency and shall be adjusted towards further installment of the grant and/or at the time of final settlement of accounts.
8. No Utilization Certificate pertaining to this programme is required with the college as it is a first time grant to the grantee institution.
9. This issues under powers delegated to this Dept. and with the concurrence of IFD vide their San No. 102/IFD/SAN/951/2017-18 dated:07.06.2017
10. This sanction order has been noted at serial No. 337.....In the register of grants.

(Dr. Garima Gupta)
Scientist-D

To.

The Pay & Accounts Officer
Department of Biotechnology
New Delhi-110003

Copy to:

1. The Principal, Director of Audit (Scientific Departments), AGCR Building, New Delhi-110002
2. Cash Section, DBT, (2 Copies)
3. The Principal, SIES College of Arts, Science and Commerce, Sion (West), Mumbai - 400022 (M.S)
4. Dr. Manju Phadke, Vice Principal and Associate Professor, SIES College of Arts, Science and Commerce, Sion (West), Mumbai - 400022 (M.S)
5. Sanction Folder

(Dr. Garima Gupta)
Scientist-D

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Principal

SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022



Utilisation Certificate

(For the period of 13-06-2017 to 31-03-2018)

- 1 Title of the programme/scheme : STAR COLLEGE SCHEME
- 2 Name of the Organisation : SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022
- 3 Course Coordinator : Dr. Manju Phadke, Vice Principal and Associate
professor, SIES College of Arts, Science &
Commerce
Sion (West), Mumbai-400022.
102/IFD/SAN/951/2017-18 Dated : 07.06.2017
- 4 Dept. of Biotechnology sanction order No. & date of sanctioning the project/programme :
5 Amount brought forward from the previous Financial year quoting DBT letter No. & date in which the authority to carry forward the Said amount was given : NIL
- 6 Amount received from DBT during the financial year (Please give No. and dates of sanction orders showing the amounts paid) :
1) Non- Recurring: Rs. 35,00,000.00
2) Recurring : Rs. 14,00,000.00
3) Travel Grant : Rs. 1,00,000.00
Total grant received : Rs. 50,00,000.00
(1+2+3) (Rupees Fifty Lakhs only)
102/IFD/SAN/951/2017-18 Dated : 13.06.2017
Rs. 93,967.00 (Rupees Ninety Three Thousand
Nine Hundred Sixty Seven only)
- 7 Other receipts/Interest earned, if any, on the DBT grants
8 Total amount that was available for expenditure during the financial year (Sl.nos. 5,6 and 7)
1) Non- Recurring: Rs. 35,00,000.00
2) Recurring : Rs. 14,00,000.00
3) Travel Grant : Rs. 1,00,000.00
4) Interest : Rs. 93,967.00
Total amount available: Rs. 50,93,967.00
(1+2+3+4)
(Rupees Fifty Lakhs Ninety Three Thousand Nine
Hundred Sixty Seven only)
- 9 Actual expenditure (excluding commitments) incurred during the financial year (statement of expenditure is enclosed) :
1) Non- Recurring: Rs. 30,64,506.00
2) Recurring : Rs. 11,87,266.00
3) Travel Grant : Rs. 21,993.00
4) Interest : Nil
- 10 Unspent balance refunded, if any (Please give details of cheque No. etc.)
1) Non- Recurring: Nil
2) Recurring : Nil
3) Travel Grant : Nil
(1+2+3) = Unspent balance available
- 11 Balance amount available at the end of the financial year :
(As On 31st March 2018)
1) Non- Recurring: Rs. 4,35,494.00
2) Recurring : Rs. 2,12,734.00
3) Travel Grant : Rs. 78,007.00
Total Unspent balance
Available (1+2+3) Unspent balance available
: Rs. 7,26,235.00
(Rupees Seven Lakhs Twenty Six Thousand Two
Hundred Thirty Five only)

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Sion (West), Mumbai - 400 022.



Continued ...2

SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022



12 Amount allowed to be carried forward to the next financial year vide letter No. & date

- 1) Non- Recurring: NIL
- 2) Recurring : NIL
- 3) Travel Grant : NIL

Total Unspent balance
Available (1+2+3) Unspent balance available
: NIL
(Letter Not Received)

1. Certified that the amount of Rs. 42,73,765.00 (Rupees Forty Two Lakhs Seventy Three Thousand Seven Hundred Sixty Five only) mentioned against col. 9 has been utilised on the programme / scheme for the purpose for which it was sanctioned and that the balance of Rs. 7,26,235.00 (Rupees Seven Lakhs Twentey Six Thousand Two Hundred Thirty Five only) remaining unutilized at the end of the year has been surrendered to Govt. (vide No. ----- dated -----) will be adjusted towards the grants-in-aid payable during the next year.
2. Certified that I have satisfied myself that the condition on which the grants-in-aid was sanctioned have been duly fulfilled / are being fulfilled and that I have exercised the following checks to see that the money was actually utilised for the purpose for which it was sanctioned.

Kinds of checks exercised:

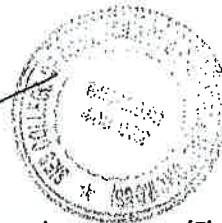
All necessary checks and verifications have been exercised to ensure proper utilisation of the funds.



M. Hadke

(Course Coordinator)
(With rubber stamp)

[Signature]



(Head Of The Institute)
(With rubber stamp)

Principal,

SIES College of Arts, Science & Commerce
Sion (West), Mumbai - 400 022.

[Signature]

(Finance / Accounts Officer)
(With rubber stamp)



OR V. SANKAR AIYAR & CO.
CHARTERED ACCOUNTANTS
FIRM REG. No. 19920SW

[Signature]

(G. SANKAR)
PARTNER
M. No. 46050

True Copy

[Signature]

Principal

SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.



Statement of Expenditure referred to in para 9 of the Utilization Certificate

Showing grants received from the Department of Biotechnology and the Expenditure incurred during the period from 13-06-2017 to 31st March 2018.

| Item | Unspent balance carried forward from previous year | Grants received from DBT during the year | Other receipts/ interest earned if any, on the DBT grants | Total of Col (2+3+4) | Expenditure (excluding commitments) incurred during the year | Balance (5-6) | Remarks | |
|------------------------------|--|--|---|----------------------|--|---------------------|---------------------|--------------------|
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | |
| 1. Non-Recurring (Equipment) | NIL | 35,00,000.00 | | 35,00,000.00 | 30,64,506.00 | 4,35,494.00 | | |
| 2. Recurring | NIL | 14,00,000.00 | | 14,00,000.00 | 11,87,266.00 | 2,12,734.00 | | |
| 3. Travel | NIL | 1,00,000.00 | | 1,00,000.00 | 21,993.00 | 78,007.00 | | |
| 4. Interest (if any) | NIL | -- | 93,967.00 | 93,967.00 | Nil | 93,967.00 | | |
| Total:- | | | | 50,00,000.00 | 93,967.00 | 50,93,967.00 | 42,73,765.00 | 8,20,202.00 |

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Principal
SIES College of Arts, Science & Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

MP hadke
Course Coordinator
(With rubber stamp)

Principals,
SIES College of Arts, Science & Commerce,
Sion (West), Mumbai - 400 022.

Kalakar
Finance/Accounts Officer
(With rubber stamp)



SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022

Utilisation Certificate

(For the period 1st April 2018 to 31st March 2019)

| | |
|--|--|
| 1 Title of the programme/scheme : | STAR COLLEGE SCHEME |
| 2 Name of the Organisation : | SIES College of Arts, Science & Commerce Sion (West), Mumbai-400022 |
| 3 Course Coordinator : | Dr. Manju Phadke, Vice Principal and Associate professor, SIES College of Arts, Science & Commerce Sion (West), Mumbai-400022. |
| 4 Dept. of Biotechnology sanction order No. & date of sanctioning the project/programme : | <u>102/IFD/SAN/951/2017-18 Dated : 07.06.2017</u> |
| 5 Amount brought forward from the previous Financial year quoting DBT letter No. & date in which the authority to carry forward the Said amount was given : | Rs. 8,20,202.00 |
| 6 Amount received from DBT during the financial year (Please give No. and dates of sanction orders showing the amounts paid) : | NIL |
| 7 Other receipts/interest earned, if any, on the DBT grants | Rs. 7,447.00 (Rupees Seven Thousand Four Hundred Forty Seven only) |
| 8 Total amount that was available for expenditure during the financial year (Sl.nos. 5,6 and 7) | 1) Non- Recurring: Rs. 4,35,494.00 2) Recurring : Rs. 2,12,734.00 3) Travel Grant : Rs. 78,007.00 4) Interest : Rs. 1,01,414.00 Total amount available: Rs. 8,27,649.00 (1+2+3+4) (Rupees Eight Lakhs Twenty Seven Thousand Six Hundred Forty Nine only) |
| 9 Actual expenditure (excluding commitments) incurred during the financial year (statement of expenditure is enclosed) : | 1) Non- Recurring: Rs. 4,16,207.00 2) Recurring : Rs. 5,94,663.00 3) Travel Grant : Rs. 77,107.00 4) Interest : Nil |
| 10 Unspent balance refunded, if any (Please give details of cheque No. etc.) | 1) Non- Recurring: Nil 2) Recurring : Nil 3) Travel Grant : Nil (1+2+3) = Unspent balance available |
| 11 Balance amount available at the end of the financial year : (As On 31st March 2019) | 1) Non- Recurring: Rs. 19,287.00 2) Recurring : Rs. - 3,81,929.00 3) Travel Grant : Rs. 900.00 4) Interest : Rs. Nil Total Unspent balance Available (1+2+3+4) Unspent balance available : Rs. - 2,60,328.00 <u>(Negative Rupees Two Lakh Sixty Thousand Three Hundred Twenty Eight Only)</u> |



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Commerce (Autonomous)**
Sion (West), Mumbai - 400 022.

Continued ...2

**SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022**

12 Amount allowed to be carried forward to the next financial year vide letter No. & date

| | |
|--------------------|-------------------|
| 1) Non- Recurring: | Rs. 19287.00 |
| 2) Recurring : | Rs. - 3,81,929.00 |
| 3) Travel Grant : | Rs. 900.00 |
| 4) Interest : | Rs. Nil |

Total Unspent balance

Available (1+2+3+4) Unspent balance available
: Rs. - 2,60,328.00

(Negative Rupees Two Lakh Sixty Thousand
Three Hundred Twenty Eight Only)

1. Certified that the amount of Rs. 10,87,977.00 (Rupees Ten Lakh Eighty Seven Thousand Nine Hundred Seventy Seven Only) mentioned against col. 9 has been utilised on the programme / scheme for the purpose for which it was sanctioned and that the balance of Rs. - 2,60,328.00 (Negative Rupees Two Lakh Sixty Thousand Three Hundred Twenty Eight Only) remaining unutilized at the end of the year has been surrendered to Govt. (vide No. — dated —) will be adjusted towards the grants-in-aid payable during the next year.
2. Certified that I have satisfied myself that the condition on which the grants-in-aid was sanctioned have been duly fulfilled / are being fulfilled and that I have exercised the following checks to see that the money was actually utilised for the purpose for which it was sanctioned.

Kinds of checks exercised:

All necessary checks and verifications have been exercised to ensure proper utilisation of the funds.

M. Phadke

Course Coordinator

(With rubber stamp)

[Signature]

Head of the Institution

Principal,

SIES College of Arts, Science & Commerce
Sion (West), Mumbai - 400 022.

[Signature]

Finance/Accounts Officer

(With rubber stamp)



OR V. SANKAR AIYAR & CO.
CHARTERED ACCOUNTANTS
FIRM REGN. No. 109208W

[Signature]

(G. SANKAR)
PARTNER
M. No. 46050

UDIN #9046050AAAABF
9/12



True Copy

[Signature]

Principal

SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

Statement of Expenditure referred to in para 9 of the Utilization Certificate

Showing grants received from the Department of Biotechnology and the Expenditure incurred during the period from 1st April 2018 to 31st March 2019.

| Item | Unspent balance carried forward from previous year | Grants received from DBT during the year | Other receipts/ interest earned if any, on the DBT grants | Total of Col (2+3+4) | Expenditure (excluding commitments) incurred during the year | Balance (5-6) | Remarks |
|------------------------------|--|--|---|----------------------|--|----------------------|---------|
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. |
| 1. Non-Recurring (Equipment) | 4,35,494.00 | NIL | NIL | 4,35,494.00 | 4,16,207.00 | 19,287.00 | - |
| 2. Recurring | 2,12,734.00 | NIL | NIL | 2,12,734.00 | 5,94,663.00 | - 3,81,929.00 | - |
| 3. Travel | 78,007.00 | NIL | NIL | 78,007.00 | 77,107.00 | 900.00 | - |
| 4. Interest (if any) | 93,967.00 | NIL | 7,447.00 | 1,01,414.00 | NIL | 1,01,414.00 | - |
| Total:- | 8,20,202.00 | NIL | 7,447.00 | 8,27,649.00 | 10,87,977.00 | - 2,60,328.00 | |

M. K. Kulkarni
Course Coordinator
(With rubber stamp)



[Signature]
Principal
SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.



[Signature]
Head of the Institution
(With rubber stamp)
SIES College of Arts, Science & Commerce,
Sion (West), Mumbai - 400 022.



[Signature]
Finance/Accounts Officer
(With rubber stamp)

[Signature]
OR V. SANKAR AIYAR & CO.
CHARTERED ACCOUNTANTS
FIRM REGN. No. 109208W
(G. SANKAR)

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SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022

Utilisation Certificate

(For the period 1st April 2019 to 31st March 2020)

- 1 Title of the programme/scheme : STAR COLLEGE SCHEME
- 2 Name of the Organisation : SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022
- 3 Course Coordinator : Dr. Manju Phadke, Vice Principal and Associate
professor, SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022.
102/IFD/SAN/951/2017-18 Dated : 07.06.2017
- 4 Dept. of Biotechnology sanction order No. &
date of sanctioning the project/programme :
- 5 Amount brought forward from the previous
Financial year quoting DBT letter No. & date in
which the authority to carry forward the Said
amount was given : Rs. - 2,60,328.00
- 6 Amount received from DBT during the financial
year (Please give No. and dates of sanction
orders showing the amounts paid) : Rs. 17,79,615.00 (San No. 102/IFD/SAN/3733/2019-
20dated 18.02.2020)
- 7 Other receipts/interest earned, if any, on the
DBT grants Rs. 5,226.00 (Rupees Five Thousand Two Hundred
Twenty Six only)
- 8 Total amount that was available for expenditure
during the financial year (Sl.nos. 5,6 and 7)
- 9 Actual expenditure (excluding commitments)
incurred during the financial year (statement of
expenditure is enclosed) :
- 10 Unspent balance refunded, if any (Please give
details of cheque No. etc.)
- 11 Balance amount available at the end of the
financial year :
(As On 31st March 2020)
- 1) Non- Recurring: Rs. 19,287.00
2) Recurring : Rs. 12,98,586.00
3) Travel Grant : Rs. 1,00,000.00
4) Interest : Rs. 1,06,640.00
Total amount available: Rs. 15,24,513.00
(1+2+3+4)
(Rupees Fifteen Lakhs Twenty Four Thousand Five
Hundred Thirteen only)
- 1) Non- Recurring: Nil
2) Recurring : Nil
3) Travel Grant : Rs. 88,217.00
4) Interest : Nil
- 1) Non- Recurring: Rs. 19,287.00 (Cheque No 004092,
dt.7-10-2019,
Bank of Baroda)
2) Recurring : Nil
3) Travel Grant : Nil
(1+2+3) = Unspent balance refunded Rs. 19,287.00
- 1) Non- Recurring: Nil
2) Recurring : Rs. 12,98,586.00
3) Travel Grant : Rs. 11,783.00
4) Interest : Rs. 1,06,640.00

Total Unspent balance
Available (1+2+3+4) Unspent balance available:
Rs. 14,17,009.00
(Rupees Fourteen Lakh Seventeen Thousand Nine Only)

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Commerce (Autonomous)
Sion (West), Mumbai - 400 022



01 FEB 2021

Continued ...2

**SIES College of Arts, Science & Commerce
Sion (West), Mumbai-400022**

12 Amount allowed to be carried forward to the next financial year vide letter No. & date

| | |
|--------------------|------------------|
| 1) Non- Recurring: | Nil |
| 2) Recurring : | Rs. 12,98,586.00 |
| 3) Travel Grant : | Rs. 11,783.00 |
| 4) Interest : | Rs. 1,06,640.00 |

Total Unspent balance

Available (1+2+3+4) Unspent balance available :
Rs. 14,17,009.00

(Rupees Fourteen Lakh Seventeen Thousand Nine Only)

1. Certified that the amount of **1,07,504.00** (Rupees One Lakh Seven Thousand Five Hundred Four Only) mentioned against col. 9 and col. 10 has been utilised on the programme / scheme for the purpose for which it was sanctioned and that the balance of Rs. **14,17,009.00** (Rupees Fourteen Lakh Seventeen Thousand Nine Only) remaining unutilized at the end of the year ~~has been surrendered to Govt. (vide No. _____ dated _____)~~ will be adjusted towards the grants-in-aid payable during the next year.
2. Certified that I have satisfied myself that the condition on which the grants-in-aid was sanctioned have been duly fulfilled / are being fulfilled and that I have exercised the following checks to see that the money was actually utilised for the purpose for which it was sanctioned.

Kinds of checks exercised:

All necessary checks and verifications have been exercised to ensure proper utilisation of the funds.

M Phadke
Course Coordinator
(With rubber stamp)

Shiva
Head of the Institution
(With rubber stamp)

Kalra
Finance/Accounts Officer
(With rubber stamp)

01 FEB 2021



gambha

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[Signature]
Principal
SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

UDIN: 21046050AAAABP91

Statement of Expenditure referred to in para 9 of the Utilization Certificate

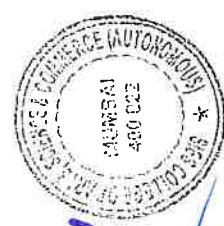
Showing grants received from the Department of Biotechnology and the Expenditure incurred during the period from 1st April 2019 to 31st March 2020.

| Item | Unspent balance carried forward from previous year | Grants received from DBT during the year | Other receipts/ interest earned if any, on the DBT grants | Total of Col (2+3+4) | Expenditure (excluding commitments) incurred during the year | Balance (5-6) | Remarks |
|------------------------------|--|--|---|----------------------|--|---------------------|---|
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. |
| 1. Non-Recurring (Equipment) | 19287.00 | - | - | 19287.00 | 19287.00 | 0.00 | Refunded Details in Annex-I |
| 2. Recurring | -381929.00 | 16,80,515.00 | - | 12,98,586.00 | - | 12,98,586.00 | - |
| 3. Travel | 900.00 | 99,100.00 | - | 1,00,000.00 | 88,217.00 | 11,783.00 | - |
| 4. Interest (if any) | 1,01,414.00 | - | 5,226.00 | 1,06,640.00 | - | 1,06,640.00 | Interest in col.2 was adjusted in reimbursement of 2018-19 expenses |
| Total:- | - 2,60,328.00 | 17,79,615.00 | 5,226.00 | 15,24,513.00 | 1,07,504.00 | 14,17,009.00 | |

M Phadke
Course Coordinator
(With rubber stamp)

01 FEB 2021

Janak
Head of the Institution
(With rubber stamp)



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Principal
Principal
SIES College of Arts, Science & Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

Finance/Accounts Officer
Finance/Accounts Officer
(With rubber stamp)

Wobos

